

Optimal Control Problems Related to Chemo-repulsion Systems

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Workshop on PDEs and Control 2025 (PKM-60)

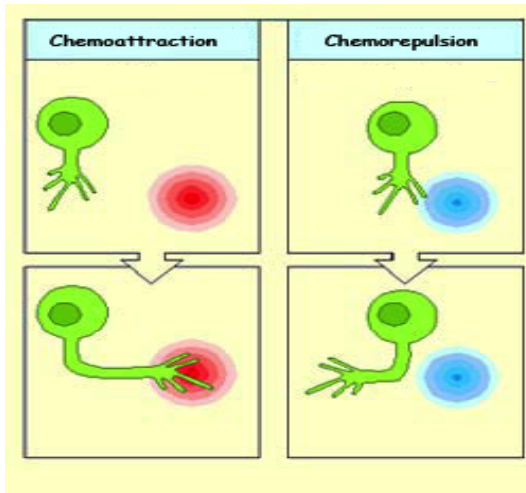
September 3-5, 2025

Universidad de Sevilla, Sevilla, Spain

Outline

- ① Chemotaxis Systems:
 - Chemo-attraction-production
 - Chemo-repulsion-production
- ② Optimal Control Problems
 - 2D case: linear and nonlinear production
 - 3D case: linear production
- ③ Other Cases

Chemotaxis



Chemotaxis model [E.F. Keller, L.A. Segel, 1971]

Let $\Omega \subset \mathbb{R}^n$, $n = 2, 3$, be a bounded domain, with smooth boundary $\partial\Omega$ and $(0, T)$ a time interval.

$$\left\{ \begin{array}{lcl} \partial_t u - \Delta u & = & \alpha \nabla \cdot (u \nabla v) \quad \text{in } Q := (0, T) \times \Omega, \\ \partial_t v - \Delta v + v & = & h(u) \quad \text{in } Q := (0, T) \times \Omega, \\ u(0, x) & = & u_0(x) \geq 0, \quad v(0, x) = v_0(x) \geq 0 \quad \text{in } \Omega, \\ \frac{\partial u}{\partial n} & = & 0, \quad \frac{\partial v}{\partial n} = 0 \quad \text{on } (0, T) \times \partial\Omega, \end{array} \right. \quad (1)$$

- $u := u(t, x) \geq 0$ is cell density and $v := v(t, x) \geq 0$ is a chemical concentration.
- $h(u) \geq 0$ represents the production term.
- The term $\alpha u \nabla v$ models the transport of cells:
 - If $\alpha < 0$, towards the higher concentrations of chemical signal

Chemo – attraction

- If $\alpha > 0$, towards the lower concentrations of chemical signal

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Chemo-repulsion with potential production ($h(u) = u^p$)

$$\begin{cases} \partial_t u - \Delta u &= \nabla \cdot (u \nabla v), \\ \partial_t v - \Delta v + v &= u^p, \quad p \in [1, 2]. \end{cases}$$

- $p = 1$: Linear production [Cieřlak, Laurençot, Morales-Rodrigo, 2008]
 - 2D: Existence and uniqueness of classical solutions
 - 3D: Existence of weak solutions
- $1 < p < 2$: Superlinear production [Guillén-González, Rodríguez-Bellido, Rueda-Gómez, 2020]
 - Existence of weak solutions in 2D and 3D domains
- $p = 2$: Quadratic production [Guillén-González, Rodríguez-Bellido, Rueda-Gómez, 2020]
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Injection/extraction

$$\left\{ \begin{array}{ll} \partial_t u - \Delta u &= \nabla \cdot (u \nabla v) \quad \text{in } Q, \\ \partial_t v - \Delta v + v &= u^p + f v \mathbf{1}_{\Omega_c} \quad \text{in } Q, \\ u(0, x) &= u_0(x), \quad v(0, x) = v_0(x) \quad \text{in } \Omega, \\ \frac{\partial u}{\partial \mathbf{n}} &= \frac{\partial v}{\partial \mathbf{n}} = 0 \quad \text{on } (0, T) \times \partial\Omega. \end{array} \right. \quad (2)$$

$\Omega_c \subset \Omega$ is a *subdomain of control*

Some properties of system (2):

- $\frac{d}{dt} \left(\int_{\Omega} u \right) = 0 \Rightarrow \int_{\Omega} u(t) = \int_{\Omega} u_0 := m_0, \forall t > 0.$

- $\frac{d}{dt} \left(\int_{\Omega} v \right) + \int_{\Omega} v = \int_{\Omega} u^p + \int_{\Omega_c} f v.$

$$\int_{\Omega} u^p = m_0, \quad \text{for } p = 1$$

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Existence of Strong Solutions in 2D Domains

[Guillén-González, M-Z, Rodríguez-Bellido, ESAIM COCV 2020 and SICON 2020]

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$$X_q := \{u \in C([0, T]; W^{2-2/q, q}) \cap L^q(W^{2, q}) : \partial_t u \in L^q(Q) := L^q(L^q)\}$$

Hypothesis

- $f \in L^s(Q_C) := L^s(L^s(\Omega_C))$, $s > 2$
- $(u_0, v_0) \in H^1(\Omega) \times W^{2-2/s, s}(\Omega)$, with $u_0 \geq 0$ and $v_0 \geq 0$ in Ω

Then, system (2) has a unique strong solution $(u, v) \in X_2 \times X_s$ such that

$$\|(u, v)\|_{X_2 \times X_s} \leq C(T, \|u_0\|_{H^1}, \|v_0\|_{W^{2-2/s, s}}, \|f\|_{L^s(Q_C)})$$

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Keys of the proof

1. Leray-Schauder fixed-point Theorem:

$$(\bar{u}, \bar{v}) \mapsto (u, v)$$

solving

$$\begin{cases} \partial_t u - \Delta u &= \nabla \cdot (\bar{u}_+ \nabla v), \\ \partial_t v - \Delta v + v &= \bar{u}^p + f \bar{v}_+ 1_{\Omega_c} \end{cases}$$

2. Energy estimates

1. Linear case: $(\ln(u), -\Delta v)$

$$\begin{aligned} \frac{d}{dt} \left(\int_{\Omega} u \ln u + \frac{1}{2} \|\nabla v\|^2 \right) + 4 \|\nabla \sqrt{u}\|^2 + \|\Delta v\|^2 + \|\nabla v\|^2 &= - \int_{\Omega_c} f v \Delta v, \\ \frac{1}{2} \frac{d}{dt} \left(\int_{\Omega} v \right)^2 + \left(\int_{\Omega} v \right)^2 &= m_0 \left(\int_{\Omega} v \right) + \left(\int_{\Omega_c} f v \right) \left(\int_{\Omega} v \right) \end{aligned}$$

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2. Energy estimates

2. Superlinear case $1 < p \leq 2$: $(\frac{1}{p-1} u^{p-1}, -\frac{1}{p} \Delta v)$

$$\begin{aligned} \frac{d}{dt} \left(\frac{1}{p(p-1)} \|u^{p/2}\|^2 + \frac{1}{2p} \|\nabla v\|^2 \right) + \frac{4}{p^2} \|\nabla(u^{p/2})\|^2 \\ + \frac{1}{2p} \|\Delta v\|^2 + \frac{1}{p} \|\nabla v\|^2 = -\frac{1}{p} \int_{\Omega_c} f v \Delta v, \\ \frac{1}{2} \frac{d}{dt} \left(\int_{\Omega} v \right)^2 + \left(\int_{\Omega} v \right)^2 = \left(\int_{\Omega} u^p \right) \left(\int_{\Omega} v \right) + \left(\int_{\Omega_c} f v \right) \left(\int_{\Omega} v \right) \end{aligned}$$

Problem: To estimate $(\int_{\Omega} u^p)^2$

Key: Gagliardo-Nirenberg inequality implies

$$\left(\int_{\Omega} u^p \right)^2 = \|u\|_{L^p}^{2p} \leq \frac{2}{p^2} \|\nabla(u^{p/2})\|^2 + C(\|u\|_{L^1}^{2p})$$

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Weak Solutions in 3D Domains: Linear case ($p = 1$)

[Guillén-González, M-Z, Rodríguez-Bellido, SICON 2020]

Hypothesis

- $f \in L^4(Q_c)$
- $(u_0, v_0) \in L^{p_0}(\Omega) \times H^1(\Omega)$ ($p_0 > 1$), $u_0 \geq 0$ and $v_0 \geq 0$ in Ω

Then, system (2) has a *weak-strong* solution (u, v) such that

- $u \in L^{5/3}(Q) \cap L^{5/4}(W^{1,5/4})$, $\partial_t u \in L^{10/9}((W^{1,10})')$
- $v \in L^\infty(H^1) \cap L^2(H^2)$, $\partial_t v \in L^{5/3}(Q)$
- The weak formulation holds for the u -equation

$$\int_0^T \langle \partial_t u, \bar{u} \rangle + \int_0^T (\nabla u, \nabla \bar{u}) + \int_0^T (u \nabla v, \nabla \bar{u}) = 0 \quad \forall \bar{u} \in L^{10}(W^{1,10})$$

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Keys of the proof

- Regularization: $\forall \varepsilon \in (0, 1)$ solution of

$$\begin{cases} \partial_t u^\varepsilon - \Delta u^\varepsilon &= \nabla \cdot (u^\varepsilon \nabla v(z^\varepsilon)) \\ \partial_t z^\varepsilon - \Delta z^\varepsilon + z^\varepsilon &= u^\varepsilon + f v(z^\varepsilon)_+ 1_{\Omega_c}, \end{cases} \quad (3)$$

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- System (3) has a unique strong solution $(u^\varepsilon, z^\varepsilon) \in X_{5/3} \times X_{10/3}$, via Leray-Schauder fixed-point theorem
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Problem: Lack of the regularity of the weak solutions

Regularity Criterion

- $(u_0, v_0) \in W^{3/2,4}(\Omega) \times W^{3/2,4}(\Omega)$
- (u, v) weak solution of (2) such that

$$u \in L^{20/7}(Q)$$

Then $(u, v) \in X_4 \times X_4$ is also a strong solution of (2). Moreover, there exists a positive constant $K := K(T, \|u_0\|_{W^{3/2,4}}, \|v_0\|_{W^{3/2,4}}, \|f\|_{L^4})$ such that

$$\|(u, v)\|_{X_4 \times X_4} \leq K$$

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Optimal Control Problems

- $\mathcal{F} \subset L^s(Q_c)$ is nonempty, convex and closed set
- $f \in \mathcal{F}$ is a bilinear control acting on the v -equation

$$\begin{aligned} \min J(u, v, f) = & \frac{\gamma_u}{r} \int_0^T \|u(t) - u_d(t)\|_{L^r}^r + \frac{\gamma_v}{2} \int_0^T \|v(t) - v_d(t)\|^2 \\ & + \frac{\gamma_f}{s} \int_0^T \|f(t)\|_{L^s}^s \end{aligned} \quad (4)$$

subject to (u, v) being a strong solution of

$$\begin{cases} \partial_t u - \Delta u & = \nabla \cdot (u \nabla v) \\ \partial_t v - \Delta v + v & = u^p + f v \mathbf{1}_{\Omega_c} \end{cases} \quad (5)$$

$$r := \begin{cases} 2 & \text{in } 2D \\ 20/7 & \text{in } 3D \end{cases} \quad s := \begin{cases} > 2 & \text{in } 2D \\ 4 & \text{in } 3D \end{cases}$$

Remark

In 3D we follow [E.Casas, K. Chrysafinos, 2016]

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Existence of Global Optimal Solution

Admissible set

$$\mathcal{S}_{ad} = \{(u, v, f) \in X_2 \times X_s \times \mathcal{F} : (u, v, f) \text{ is strong solution}\}$$

Hypothesis

- Either $\gamma_f > 0$ or $\gamma_f = 0$ and $\mathcal{F}$ is bounded in $L^5(Q_C)$
- In 3D, $\mathcal{S}_{ad} \neq \emptyset$ ($u \in L^{20/7}(Q_C)$) and $\gamma_u > 0$

Then, there exists a global optimal solution $(\hat{u}, \hat{v}, \hat{f})$ via minimizing sequences and the fact that J is weakly lower semicontinuous on $\mathcal{S}_{ad}$

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In 3D, $\mathcal{S}_{ad} \neq \emptyset$ if the control acts on the whole domain ($\Omega_C = \Omega$) and $v_0 \geq \alpha > 0$ in Ω .

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Optimality System

We consider the spaces

$$\mathbb{X} := \widehat{X}_2 \times \widehat{X}_s \times L^s(Q_c), \quad \mathbb{Y} := L^2(Q) \times L^s(Q)$$

with $\widehat{X}_2 = \{u \in X_2 : u(0) = 0\}$, $\widehat{X}_s = \{u \in X_s : u(0) = 0\}$ and the operator $G = (G_1, G_2) : \mathbb{X} \rightarrow \mathbb{Y}$ given by

$$\begin{aligned} G_1(u, v, f) &= \partial_t u - \Delta u - \nabla \cdot (u \nabla v) \\ G_2(u, v, f) &= \partial_t v - \Delta v - u^p - f v \mathbf{1}_{\Omega_c} \end{aligned}$$

Then, the optimal control problem (4)-(5) is reformulated as

$$\min_{(u, v, f) \in \mathbb{M}} J(u, v, f) \quad \text{subject to} \quad G(u, v, f) = (0, 0)$$

$$\mathbb{M} := (\widehat{u}, \widehat{v}, \widehat{f}) + \widehat{X}_2 \times \widehat{X}_s \times (\mathcal{F} - \widehat{f})$$

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Step 1: Regular point

Let $(\hat{u}, \hat{v}, \hat{f}) \in \hat{X}_2 \times \hat{X}_s \times L^s(Q_c)$ be a local optimal solution and $(g_u, g_v) \in \mathbb{Y}$. Then $(U, V, F) \in \hat{X}_2 \times \hat{X}_s \times \mathcal{C}(\hat{f})$ is the unique solution of system

$$\left\{ \begin{array}{rcl} \partial_t U - \Delta U - \nabla \cdot (U \nabla \hat{v}) - \nabla \cdot (\hat{u} \nabla V) & = & g_u, \\ \partial_t V - \Delta V + V - p \hat{u}^{p-1} U - \hat{f} V 1_{\Omega_c} - F \hat{v} 1_{\Omega_c} & = & g_v, \\ U(0) = V(0) & = & 0, \\ \frac{\partial U}{\partial \mathbf{n}} = \frac{\partial V}{\partial \mathbf{n}} & = & 0, \end{array} \right.$$

where

$$\mathcal{C}(\hat{f}) := \{\theta(f - \hat{f}) : \theta \geq 0, f \in \mathcal{F}\}$$

Step 2: Lagrange multipliers [Zowe, Kurcyusz, 1979]

There exists Lagrange multipliers $(\hat{\lambda}, \hat{\eta}) \in L^2(Q) \times [L^s(Q)]'$ such that

$$\begin{aligned} & \gamma_u \int_0^T \int_{\Omega} (\hat{u} - u_d) U + \gamma_v \int_0^T \int_{\Omega} (\hat{v} - v_d) V + \gamma_f \int_0^T \int_{\Omega_c} \operatorname{sgn}(\hat{f}) |\hat{f}|^{s-1} F \\ & + \int_0^T \int_{\Omega} (\partial_t U - \Delta U - \nabla \cdot (U \nabla \hat{v}) - \nabla \cdot (\hat{u} \nabla V)) \hat{\lambda} \\ & + \int_0^T \int_{\Omega} (\partial_t V - \Delta V + V - p \hat{u}^{p-1} U - \hat{f} V 1_{\Omega_c}) \hat{\eta} + \int_0^T \int_{\Omega_c} F \hat{v} \hat{\eta} \\ & \geq 0 \quad \forall (U, V, F) \in \hat{X}_2 \times \hat{X}_s \times \mathcal{C}(\hat{f}) \end{aligned}$$

Step 3: Existence of Strong Solutions of the Adjoint Problem

$$\left\{ \begin{array}{lcl} -\partial_t \lambda - \Delta \lambda + \nabla \lambda \cdot \nabla \hat{v} - p \hat{u}^{p-1} \eta & = & J'_u \\ -\partial_t \eta - \Delta \eta - \nabla \cdot (\hat{u} \nabla \lambda) + \eta - \hat{f} \eta 1_{\Omega_c} & = & J'_v \\ \lambda(T) & = & \eta(T) = 0 \\ \frac{\partial \lambda}{\partial \mathbf{n}} & = & \frac{\partial \eta}{\partial \mathbf{n}} = 0 \end{array} \right. \quad (6)$$

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Step 4: Regularity of the Lagrange Multipliers

$(\hat{\lambda}, \hat{\eta})$ is the very-weak solution of the adjoint problem (6). Also, the Lagrange multiplier is unique and satisfies

$$\|\lambda - \hat{\lambda}\|_{L^2}^2 + \|\eta - \hat{\eta}\|_{s'}^2 = 0.$$

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Optimality System

(u, v, f, λ, η) such that

- State equations

$$\left\{ \begin{array}{rcl} \partial_t u - \Delta u & = & \nabla \cdot (u \nabla v) \\ \partial_t v - \Delta v + v & = & u^p + f v \mathbf{1}_{\Omega_c} \\ u(0) & = & u_0, \quad v(0) = v_0 \\ \frac{\partial u}{\partial \mathbf{n}} & = & \frac{\partial v}{\partial \mathbf{n}} = 0 \end{array} \right.$$

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- Optimality condition

$$\int_0^T \int_{\Omega_c} (J'_f + \hat{v} \eta)(F - \hat{f}) \geq 0 \quad \forall F \in \mathcal{F}$$

Other Cases

- Stationary Case with Linear Production [S. Lorca, E. Mallea-Zepeda, E.J. Villamizar-Roa, Bull. Braz. Math. Soc. 2023]:

$$\Omega \subset \mathbb{R}^n, n = 1, 2, 3, \text{ and } f \in L^3(\Omega_c) \text{ with } \|f\|_{L^3} \ll 1$$

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- Parabolic-Elliptic Case with Superlinear Production [A. Ancoma-Huarachi, E. Mallea-Zepeda, Hacettepe J. Math. Stat. 2023]:

$$\Omega \subset \mathbb{R}^2, f \in L^q(\Omega_c), 2 < q < \infty, \text{ with } \|f\|_{L^q} \ll 1 \text{ and } p \in [1, 2]$$

$$\begin{cases} \partial_t u - \Delta u &= \nabla \cdot (u \nabla v) & \text{in } \Omega, \\ -\Delta v + v &= u^p + f v 1_{\Omega_c} & \text{in } \Omega, \\ v(0, x) &= v_0(x) & \text{in } \Omega, \\ \frac{\partial u}{\partial n} &= \frac{\partial v}{\partial n} = 0 & \text{on } \partial\Omega. \end{cases}$$

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$$\begin{cases} -\Delta u &= \nabla \cdot (u \nabla v) & \text{in } \Omega, \\ -\Delta v + v &= u + f v 1_{\Omega_c} & \text{in } \Omega, \\ \frac{\partial u}{\partial \mathbf{n}} &= \frac{\partial v}{\partial \mathbf{n}} = 0 & \text{on } \partial\Omega. \end{cases}$$

- Parabolic-Elliptic Case with Superlinear Production [A. Ancoma-Huarachi, E. Mallea-Zepeda, Hacettepe J. Math. Stat. 2023]:

$$\Omega \subset \mathbb{R}^2, f \in L^q(\Omega_c), 2 < q < \infty, \text{ with } \|f\|_{L^q} \ll 1 \text{ and } p \in [1, 2]$$

$$\begin{cases} \partial_t u - \Delta u &= \nabla \cdot (u \nabla v) & \text{in } \Omega, \\ -\Delta v + v &= u^p + f v 1_{\Omega_c} & \text{in } \Omega, \\ v(0, x) &= v_0(x) & \text{in } \Omega, \\ \frac{\partial u}{\partial \mathbf{n}} &= \frac{\partial v}{\partial \mathbf{n}} = 0 & \text{on } \partial\Omega. \end{cases}$$

Other Cases

- Stationary Case with Linear Production [S. Lorca, E. Mallea-Zepeda, E.J. Villamizar-Roa, Bull. Braz. Math. Soc. 2023]:

$$\Omega \subset \mathbb{R}^n, n = 1, 2, 3, \text{ and } f \in L^3(\Omega_c) \text{ with } \|f\|_{L^3} \ll 1$$

$$\left\{ \begin{array}{lcl} -\Delta u & = & \nabla \cdot (u \nabla v) \quad \text{in } \Omega, \\ -\Delta v + v & = & u + f v 1_{\Omega_c} \quad \text{in } \Omega, \\ \frac{\partial u}{\partial \mathbf{n}} & = & \frac{\partial v}{\partial \mathbf{n}} = 0 \quad \text{on } \partial\Omega. \end{array} \right.$$

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- Parabolic-Elliptic Case with Linear Production [J. Cen, J. Huayta-Centeno, E. Mallea-Zepeda, S. Zeng, Appl. Math. Optim. 2024]:

$$\Omega \subset \mathbb{R}^2 \text{ and } f \in L^q(\Omega_c), 2 < q < \infty, \text{ with } \|f\|_{L^q} \ll 1$$

$$\begin{cases} \partial_t u - \Delta u &= \nabla \cdot (u \nabla v) & \text{in } Q, \\ -\Delta v + v &= u + f v 1_{\Omega_c} & \text{in } Q, \\ v(0, x) &= v_0(x) & \text{in } \Omega, \\ \frac{\partial u}{\partial \mathbf{n}} &= \frac{\partial v}{\partial \mathbf{n}} = 0 & \text{on } (0, T) \times \partial\Omega. \end{cases}$$

- Attraction-Repulsion Systems [J. Huayta-Centeno, E. Mallea-Zepeda, E.J. Villamizar-Roa, Optim. Letters 2025]:

$$\Omega \subset \mathbb{R}^2, g \in L^{2+}(Q_c), h \in L^{2+}(Q_d)$$

$$\begin{cases} \partial_t u - \Delta u &= -\nabla \cdot (u \nabla v) + \nabla \cdot (u \nabla w) + u(a - bu) & \text{in } Q, \\ \partial_t v - \Delta v + v &= u + g v 1_{\Omega_c} & \text{in } Q, \\ \partial_t w - \Delta w + w &= u^p + h w 1_{\Omega_d} & \text{in } Q. \end{cases}$$

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- Stationary Case with Nonlinear Production [Y.R. Linares, E. Mallea-Zepeda, I. Villarreal-Tintaya, Appl. Math. Optim. 2025]

$$\Omega \subset \mathbb{R}^2, p \in (1, 2] \text{ and } f \in L^2(\Omega_c) \text{ with } \|f\|_{L^2} \ll 1$$

$$\left\{ \begin{array}{rcl} -\Delta u & = & \nabla \cdot (u \nabla v) \text{ in } \Omega, \\ -\Delta v + v & = & u^p + f v \mathbf{1}_{\Omega_c} \text{ in } \Omega, \\ \frac{\partial u}{\partial \mathbf{n}} & = & \frac{\partial v}{\partial \mathbf{n}} = 0 \text{ on } \partial\Omega. \end{array} \right.$$

Work in Progress

- Parabolic-Neumann Problem in 3D domains and Superlinear Production [F. Guillén-González, E. Mallea-Zepeda, M.A. Rodríguez-Bellido, E.J. Villamizar-Roa, 2025]:

- $\Omega \subset \mathbb{R}^3$, $p = [1, 5/3]$ and $f \in L^{5/2}(L^{5/2+}(\Omega_c))$

$$\begin{cases} \partial_t u - \Delta u &= \nabla \cdot (u \nabla v) & \text{in } Q, \\ \partial_t v - \Delta v + v &= u^p + f v 1_{\Omega_c} & \text{in } Q. \end{cases}$$

- Logistic Problem: $\Omega \subset \mathbb{R}^3$, $p > 1$ and $f \in L^{5/2}(L^{5/2+}(\Omega_c))$

$$\begin{cases} \partial_t u - \Delta u &= \nabla \cdot (u \nabla v) + ru - \mu u^p & \text{in } Q, \\ \partial_t v - \Delta v + v &= u^p + f v 1_{\Omega_c} & \text{in } Q. \end{cases}$$

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Empirical Research: Observing saddle points

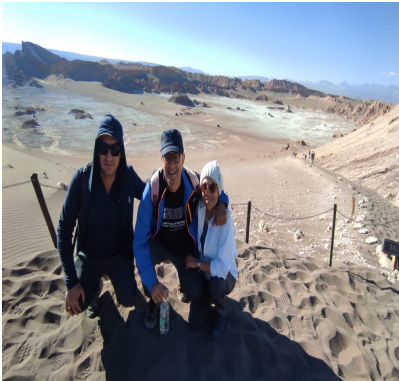
Empirical Research: Observing saddle points



Empirical Research: Observing saddle points



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Thank you!